

1 COOLEY LLP
2 TOWER C. SNOW, JR. (58342)
(tsnow@cooley.com)
3 101 California Street, 5th Floor
4 San Francisco, CA 94111-5800
Telephone: (415) 693-2000
Facsimile: (415) 693-2222

5 JOHN C. DWYER (136533)
(dwyerjc@cooley.com)
6 JESSICA VALENZUELA SANTAMARIA (220934)
(jsantamaria@cooley.com)
7 ADAM C. TRIGG (261498)
(atrigg@cooley.com)
8 Five Palo Alto Square
3000 El Camino Real
9 Palo Alto, CA 94306-2155
Telephone: (650) 843-5000
10 Facsimile: (650) 849-7400

11 JOSEPH B. WOODRING (272940)
(jwoodring@cooley.com)
12 1333 2nd Street, Suite 400
Santa Monica, CA 90401
13 Telephone: (310) 883-6400
Facsimile: (310) 849-6500

14 Attorneys for Defendants
15 H. Ravi Brar, Susie Herrmann, and Murray Jones

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

19 SPECIAL SITUATIONS FUND III QP,
L.P., SPECIAL SITUATIONS CAYMAN
20 FUND, L.P. and WOLVERINE FLAGSHIP
FUND TRADING LIMITED.

21 Plaintiffs.

22

23 H. RAVI BRAR, SUSIE HERRMANN and MURRAY JONES

Defendants

Case No. 3:14-CV-04717-SC

**DEFENDANTS H. RAVI BRAR, SUSIE
HERRMANN AND MURRAY JONES'
DISCLOSURE STATEMENT (FED. R. CIV.
P. 7.1 & CIV. L. R. 3-15)**

1 Defendants H. Ravi Brar, Susie Herrmann, and Murray Jones (“Defendants”), by and
 2 through their undersigned counsel of record, hereby disclose pursuant to Federal Rule of Civil
 3 Procedure 7.1 that ECOtality, Inc. (“ECOtality”) has no parent corporation, and the Defendants
 4 believe that no publicly held company owns 10% or more of ECOtality’s stock.

5 Pursuant to Civil Local Rule 3-15, the undersigned certifies that the following listed
 6 persons, associations of persons, firms, partnerships, corporations (including parent corporations)
 7 or other entities (i) have a financial interest in the subject matter in controversy or in a party to the
 8 proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be
 9 substantially affected by the outcome of this proceeding:

10 The following companies or entities affiliated with such companies beneficially owned
 11 more than 5% of ECOtality’s stock as of October 4, 2012, as disclosed in ECOtality’s DEF14A
 12 Proxy Statement filed with the U.S. Securities and Exchange Commission on October 10, 2012:
 13 ABB Technology Ventures, LTD, Valley 2010 Investment LLC, Global LearnNet Ltd., Codex
 14 Group, Inc., AWM Investment Company, Inc., Austin W. Marxe and David M. Greenhouse,
 15 Gruber & McBain Capital Management LLC, John Gruber and J. Patterson McBain, Enable
 16 Growth Partners LP, Enable Opportunity Partners, LP, and Pierce Diversified Strategy Master
 17 Fund, LLC.

18 ECOtality is a publicly owned company with a large number of outstanding shares, and as
 19 such, it would be impracticable to list each individual shareholder.

20 Dated: December 2, 2014

COOLEY LLP
 21 TOWER C. SNOW (58342)
 JOHN C. DWYER (136533)
 22 JESSICA VALENZUELA SANTAMARIA (220934)
 ADAM C. TRIGG (261498)
 23 JOSEPH B. WOODRING (272940)

24 */s/ Joseph B. Woodring*

25 Joseph B. Woodring (272940)

26 Attorneys for Defendants
 27 H. Ravi Brar, Susie Herrmann, and Murray Jones